



03/02/2004 19:21 240-3710700

GOPSTEIN PATENT

PAGE 01/17

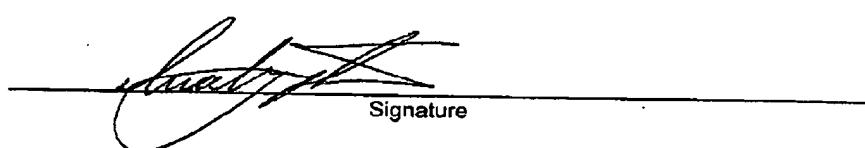
PTO/SB/97 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Attorney Docket 041-1930ARI

### Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office

on March 2, 2004  
Date



Signature

ISRAEL GOPSTEIN, Reg. No. 27,333

Typed or printed name of person of signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

*Included herein are the following papers for filing in Application Serial No. 10/635,929:*

- 1) Response to Notice to File Missing Parts of Reissue Application (2 pages);
- 2) Copy of Notice to File Missing Parts (2 pages);
- 3) Reissue Application Declaration (PTO SB/51 and SB/02B - 3 pages);
- 4) Consent of Assignee (PTO SB/53 - 1 page);
- 5) Statement Under 37 CFR 3.73(b) (PTO SB/96 - 1 page);
- 6) Petition for Extension of Time (1 page);
- 7) Fee Transmittal (PTO SB/17 - 1 page);
- 8) PTO form 2038 in payment of \$2,022 (1 page); and
- 9) Preliminary Amendment (4 pages).

Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Docket No.: 041-1930ARI

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Reissue Application of

:

Yasuhide HORIUCHI et al.

:

Serial No. 10/635,929

:

Group Art Unit: OIPE

Filed: 08/07/2003

:

Examiner:

For: TRANSMITTER-RECEIVER FOR ELECTRONIC MAIL THAT PROVIDES CONVENIENCE TO A USER RECEIVING MAIL SERVICES FROM VARIOUS MAIL SERVICE PROVIDERS AT DIFFERENT TERMINALS AND DIFFERENT PLACES

RESPONSE TO NOTICE TO FILE MISSING PARTS OF REISSUE APPLICATION**Mail Stop MISSING PARTS**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In response to the Notice dated October 2, 2003, copy enclosed, the time for response to which is extended for THREE months by an accompanying Petition and fee payment, applicant submits herewith the following items required in said Notice:

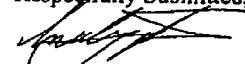
- The statutory basic filing fee of \$770;
- a properly signed oath or declaration;
- a late filing fee or oath or declaration surcharge of \$130;
- Consent of assignee;
- Assignee's statement under 37 CFR 3.73(b); and
- Additional claim fees of \$168 (due to an increase in USPTO fees, the correct amount is \$172).

PTO Form 2038 in the amount of \$2,022.00 is provided, to cover the sum of the following fees: a \$950.00 fee for a three month Extension of Time, the filing fee of \$770.00, the \$130.00 surcharge and \$172.00 in additional claim fees.

Serial No. 10/635,929

In view of the foregoing, it is courteously submitted that informalities in the application as filed have been eliminated, and that the application is in condition for examination. A prompt notification of the same is courteously solicited.

Respectfully Submitted,

  
Israel Gopstein  
Registration No. 27,333

1750 K Street, N.W. Suite 600  
Washington, D.C. 20006  
(202) 835-1111  
(202) 835-1755 (fax)  
Date: March 2, 2004



03/02/2004 19:21 240-3710700  
03/09/2003 11:55 2028351755

GOPSTEIN PATENT  
CLARKBRODY

PAGE 04/17  
#5734 P.005

Page 1 of 2



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: 1401 L Street, N.W.  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/635,929         | 08/07/2003             | Yasuhide Horiuchi     | 041-1930AR1            |

Israel Gopstein, Esq.  
Clark & Brody  
1750 K Street, N.W.  
Washington, DC 20006

**CONFIRMATION NO. 1062**

**FORMALITIES LETTER**

\*OC000000010972641\*

Date Mailed: 10/02/2003

**NOTICE TO FILE MISSING PARTS OF REISSUE APPLICATION**

***Filing Date Granted***

An application number and filing date have been accorded to this reissue application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- Consent of assignee is missing. 37 CFR 1.172 requires that a reissue oath/declaration be accompanied by the written consent of all assignees.
- Assignee's statement under 37 CFR 3.73(b) establishing ownership of the patent is missing. 37 CFR 1.172 requires that all assignees consenting to the reissue application establish their ownership interest in the patent by filing in the reissue application a statement in accordance with 37 CFR 3.73(b).

**Items Required To Avoid Processing Delays:**

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- Additional claim fees of \$168 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$1068 for a Large Entity

03/03/2004 CCHAU1 00000120 10635929

02 FC:1001 770.00 0P  
03 FC:1051 130.00 0P  
04 FC:1201 172.00 0P

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$168
  - \$168 for 2 independent claims over the original patent.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1460

---

*A copy of this notice MUST be returned with the reply.*

*Initial P. Wm. L.*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART I • ATTORNEY/APPLICANT COPY



03/02/2004 19:21 240-3710700

GOPSTEIN PATENT

PAGE 12/17

PTO/SB/17 (10-03)  
Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

|                         |               |
|-------------------------|---------------|
| TOTAL AMOUNT OF PAYMENT | (\$ 2,022.00) |
|-------------------------|---------------|

## Complete If Known

|                      |                   |
|----------------------|-------------------|
| Application Number   | 10/635,929        |
| Filing Date          | 08/07/2003        |
| First Named Inventor | Yasuhide HORIUCHI |
| Examiner Name        |                   |
| Art Unit             | OIPE              |
| Attorney Docket No.  | 041-1930ARI       |

## METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money Order  Other  None

Deposit Account:

Deposit Account Number   
Deposit Account Name

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) or any underpayment of fee(s)  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity              | Small Entity | Fee Code (\$) | Fee Code (\$) | Fee Description  | Fee Paid |
|---------------------------|--------------|---------------|---------------|--|----------|
| 1051                      | 130          | 2051          | 65            | Surcharge - late filing fee or oath  | 130      |
| 1052                      | 50           | 2052          | 25            | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053                      | 130          | 1053          | 130           | Non-English specification  |          |
| 1812                      | 2,520        | 1812          | 2,520         | For filing a request for ex parte reexamination                            |          |
| 1804                      | 920*         | 1804          | 920*          | Requesting publication of SIR prior to Examiner action                     |          |
| 1805                      | 1,840*       | 1805          | 1,840*        | Requesting publication of SIR after Examiner action                        |          |
| 1251                      | 110          | 2251          | 55            | Extension for reply within first month                                     |          |
| 1252                      | 420          | 2252          | 210           | Extension for reply within second month                                    |          |
| 1253                      | 850          | 2253          | 475           | Extension for reply within third month                                     | 950      |
| 1254                      | 1,480        | 2254          | 740           | Extension for reply within fourth month                                    |          |
| 1255                      | 2,010        | 2255          | 1,005         | Extension for reply within fifth month                                     |          |
| 1401                      | 330          | 2401          | 165           | Notice of Appeal   |          |
| 1402                      | 330          | 2402          | 165           | Filing a brief in support of an appeal                                     |          |
| 1403                      | 290          | 2403          | 145           | Request for oral hearing   |          |
| 1451                      | 1,510        | 1451          | 1,510         | Petition to institute a public use proceeding                              |          |
| 1452                      | 110          | 2452          | 55            | Petition to revive - unavoidable   |          |
| 1453                      | 1,330        | 2453          | 665           | Petition to revive - unintentional   |          |
| 1501                      | 1,330        | 2601          | 685           | Utility issue fee (or reissue)   |          |
| 1502                      | 480          | 2502          | 240           | Design issue fee   |          |
| 1503                      | 640          | 2503          | 320           | Plant issue fee  |          |
| 1460                      | 130          | 1460          | 130           | Petitions to the Commissioner  |          |
| 1807                      | 50           | 1807          | 50            | Processing fee under 37 CFR 1.17(q)  |          |
| 1806                      | 180          | 1806          | 180           | Submission of Information Disclosure Stmt                                  |          |
| 8021                      | 40           | 8021          | 40            | Recording each patent assignment per property (times number of properties) |          |
| 1809                      | 770          | 2809          | 385           | Filing a submission after final rejection (37 CFR 1.129(e))                |          |
| 1810                      | 770          | 2810          | 385           | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 1801                      | 770          | 2801          | 385           | Request for Continued Examination (RCE)                                    |          |
| 1802                      | 900          | 1802          | 900           | Request for expedited examination of a design application                  |          |
| Other fee (specify) _____ |              |               |               |  |          |

SUBTOTAL (1) (\$ 770.00)

\*or number previously paid, if greater; For Reissues, see above

\*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 1,080.00)

| SUBMITTED BY      |                  |  |                                   |                        |
|-------------------|------------------|--|-----------------------------------|------------------------|
| Name (Print/Type) | Israel Goepstein |  | Registration No. (Attorney/Agent) | Telephone 202 835-1111 |
| Signature         |                  |  | Date 03/02/2004                   |                        |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.